



New Member Application

Welcome to Unity. We're looking forward to having you on board.

CIF number: [input field]

Use this form when creating a new customer information file (CIF) or reactivating a dormant account. Important: Perform a search in STSCIF to ensure your customer does not already have an existing CIF

Personal details

Mr Mrs Miss Ms Other Gender Female Male Date of birth / /

First name(s) Last/Family name

Also known as (inc. maiden name)

Occupation Employer

Home phone Mobile Email

Residential address (not a PO Box)

Postal address (if different from residential)

Account and statement ID code (8-10 characters long) [input boxes]

Identification

Type of ID ID No. Expiry date passport/driver licence/birth certificate and version no. 5b for driver licence DD MM YYYY

Secondary or accompanying ID (where required)

Proof of address (phone/power/rates/bank statement)

Financial and tax details

What is the nature and purpose for opening an account with us today? e.g. everyday banking, savings/investing, opening child's account, applying for a loan

What is the source of your income and initial deposit? e.g. employment/benefit/superannuation/investment income/business income or sale of asset/property/business/inheritance/other (please specify)

Will you be receiving payments from overseas? Yes No If yes, please advise sources of income, including the amount and frequency

IRD No [input boxes]

RWT rate 10.5% 17.5% 30% 33% 45% Non-resident (NRWT) 10% 15%

Note: *If you do not elect an RWT rate, RWT will be deducted at 33% (IRD number provided) or 45% (non-declaration rate). *If you have selected a NRWT Rate you must complete the Self-Certification Declaration questions.

Are you a tax resident of any other country? No --- please skip to Declaration and signature Yes --- please continue answering Self-Certification Declaration questions

Self-Certification Declaration

Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”)

Complete the following table indicating the country of residence where the account holder is a **resident for tax purposes** and the account holder’s TIN for each jurisdiction indicated. Indicate **all** countries of residence.

If a TIN is unavailable, provide the appropriate reason A, B or C:

- **Reason A** - The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.
- **Reason B** - The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.
- **Reason C** - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

Jurisdiction of Residence	TIN	Enter reason for A, B or C if no TIN available	Explain why the account holder is unable to obtain a TIN if you have selected reason B
1.			
2.			
3.			

Important notes:

- This is a self-certification form provided by an account holder to a reporting financial institution for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the reporting financial institution to Inland Revenue for transfer to the tax authority of another jurisdiction. This form is intended to request information consistent with New Zealand Tax law.
- An account holder should report all changes in his/her tax residency status to the reporting financial institution.
- Unity does not provide tax advice. Please contact a specific tax adviser if you require guidance regarding how to determine your tax status.

Declaration

I hereby apply to become a member of Unity

By signing (or electronically verifying if applicable), I certify and acknowledge that:

- All information supplied is true, correct and complete and may be relied upon by Unity. A false declaration may result in this Application being rejected, or any Loan advanced in reliance on this declaration being closed or cancelled without notice
- I have not withheld any information (including information regarding my financial position, financial commitments, bankruptcy or no asset procedure) which may affect Unity’s decision regarding this Application.
- I will advise Unity of any changes to relevant personal information including (but not limited to) the information in this Application.
- I have been provided with a copy of Unity’s Privacy Statement. I understand that Unity may use personal information it holds for the purposes set out in the General Terms and Conditions. This includes (but is not limited to) provision of products or services by Unity, market research, legal compliance and promotion of products and services by selected third parties engaged by Unity.
- Unity may send commercial electronic messages (as that term is defined in the Unsolicited Electronic Messages Act 2007) to me using any address supplied to Unity.
- Unity may (at its sole discretion) make relevant enquiries to verify all or any of the information I have provided in this Application, including credit checks and enquiries in relation to my current or past employment.
- My personal information may be disclosed to debt recovery and credit reporting agencies, and my personal information may be disclosed by credit reporting agencies to their eligible customers.
- I have the right to access and correct any information held about me by Unity.
- Unity will ensure that information about me is securely held and will not, except as set out in the General Terms and Conditions or as authorised by me or when required or authorised by law, be disclosed to any other person or organisation.
- I have been provided with, and agree to, the General Terms and Conditions. If I am an account operator, or agent or attorney for a member (where relevant), I also agree to the General Terms and Conditions.
- I have received the Credit Risk Statement.

Name _____ Signature _____ Date ____/____/____

Capacity (if not account holder) e.g. Parent or Guardian, Power of Authority (please specify) _____

Unity team use only

New member opening criteria

(please tick all boxes that apply to confirm documents obtained)

1. ONE form of **Primary Photographic Identification** (original and current) has been provided by the member - as per 6.4.b. **Documentary Identity Verification**
Or
2. ONE form of **Secondary Photographic Identification** **AND** an additional accompanying identification document - as 6.4.b. **Documentary Identity Verification**
Or
3. ONE form of **non-Photographic Identification** **AND** an additional accompanying identification document - as 6.4.b. **Documentary Identity Verification**

And

4. Proof of address has been provided by the member - as per process 6.4.c. **Documentary Address Verification**
5. AEOI
Recorded on the Checklist in the Customer Master (STDCIF)
6. If foreign tax indicators are found, these have been recorded and a self-certification declaration has been completed.

Service instructions and actions

*Marked must be completed fully (please tick to confirm)

Member has been provided with the following and the checklist tab in Flexcube has been fully completed:

- General Terms and Conditions* Credit Risk Statement* Privacy Statement* Fee schedule*
- All steps have been fully completed as per the Create a CIF process*
- ALL required documents scanned to scanning@unitymoney.co.nz*

Must be signed and dated for audit purposes

Loaded by (staff name) _____ Date: ____ / ____ / ____

In branch check by (staff name) _____ Date: ____ / ____ / ____