

## Material Contracts

### Kiwi Wealth Managed Funds

29 June 2023

This is a summary of the contracts that we consider to be material in relation to the Kiwi Wealth Managed Funds (the **Scheme**) under clause 52, schedule 4 of the Financial Markets Conduct Regulations 2014.

#### Retail Supervisor Reporting Agreement

There is a Supervisor Reporting Agreement between Public Trust, Kiwi Wealth Investments Limited Partnership (**KWILP**), and Kiwi Wealth Limited (**Kiwi Wealth**). Public Trust is the Supervisor of the Scheme, KWILP is the administration and investment manager of the Scheme, and Kiwi Wealth is the Manager of the Scheme.

The Supervisor Reporting Agreement:

- Sets out various detailed reporting obligations that Kiwi Wealth has to Public Trust contractually and under legislation.
- Sets out duties and responsibilities of Kiwi Wealth in relation to the investment of the Scheme's assets and in relation to the appointment of any custodian or sub-custodian.

#### Investment Management and Administration Agreement

There is an Investment Management and Administration Agreement between Kiwi Wealth and KWILP. The Investment Management and Administration Agreement sets out the terms of appointment of KWILP as administration and investment manager of the Scheme and the basis on which it carries out the administration and investment management functions of the Scheme. The services provided by KWILP under the Investment Management and Administration Agreement include:

- Administration services, including dealing with Scheme investors, maintaining records, operating bank accounts, reporting, registry management, and performing all other administration management duties as reasonably required by Kiwi Wealth; and
- Investment services, including investing and managing the assets of the Scheme, monitoring performance, undertaking valuations, keeping accounting records, reporting, and performing all other investment management duties as reasonably required by Kiwi Wealth.

KWILP can delegate or sub-delegate to a related party company to provide investment services and administration services the Scheme, and KWILP has delegated its investment management functions in relation to the Scheme to Fisher Funds Management Limited (an associated person).

#### Registry Services Agreement – Apex Investment Administration (NZ) Limited

KWILP has signed a contract with Apex Investment Administration (NZ) Limited to record KWILP's appointment of Apex Investment Administration (NZ) Limited to carry out certain administration services including registry services and unit pricing.

#### Trust Deed

The Scheme is governed by the master trust deed and establishment deeds between Kiwi Wealth and Public Trust. A copy of each deed is available on the Disclose Register.